



Supplemental Services Schedule	
Preparation of Form 5500 extension	\$250
Late data submission fee if Company submits data more than 6 months after the end of the Plan Year	\$300
Assistance to submit interest amounts to Record keeper for late submission of salary deferrals	\$300
Profit Sharing allocation using allocation groups ("cross-tested") – involves special non-discrimination testing	\$500
Each additional "cross tested" allocation illustration run requested by Company for different budget	\$250
Each additional "non- cross tested" allocation illustration run requested by Company for different budget – 1 <sup>st</sup> regular PS allocation included in base fee	\$150
Calculation and communication of the return of salary deferrals to cure a failed ADP test (or calculation of QNEC or QMAC)	\$500
Multiple Employer Plan - Supplemental testing for each additional adopting employer	\$750
Election to utilize a Top Paid Group election	\$300
Preparation of each Projected ADP test mid-year	\$500
Consultation with consultant or administrator regarding possible change of design of plan (up to one hour of discussion or work) – billable charge whether change in allocation method made or not	\$150
Each interim minor amendment required by the IRS or amendment to change Trustees	\$250
Amendment requested by Company including preparation of SMM or revised SPD	\$300
Preparation of IRS Form 8955-SSA (For terminated participants not paid out by end of following Plan Year)	\$250
Annual "True-up" of any Employer contributions where contributions are calculated on an annual basis but deposits are made throughout the year	\$350
If the Plan needs a CPA audit, we must assist the CPA in a multitude of areas – minimum fee for services additional fees may apply depending on complexity	\$750
Base fee for Self-Directed Brokerage Accounts (SDBA) if held outside of regular Record keeper platform	\$500
Per Self Directed Brokerage Account (SDBA) due to necessary annual Trust Accounting	\$100
Per payroll batching of salary deferral contributions or other deposits to SDBA	\$750
Pooled Assets base fee for Trust Accounting – minimum fee	\$450
Assistance to Company relative to each QDRO (review and processing) – minimum fee	\$350
If the Company makes a decision to move to another Recordkeeping vendor and terminates our services a termination of service fee will apply 100% of the time	\$950
Additional Administration work due to incorrect information provided by client	\$250
Plans with dual eligibility for sources (example: Deferral immediate entry; Employer One Year wait)	\$350
Preparation of "Supplemental" Safe Harbor Notices and/or Quarterly Benefit Notices	\$150
All Other Services not enumerated shall be performed on an hourly fee basis	hourly